No. Pr-12012/46/2018 NMCG  
National Mission for Clean Ganga  
Ministry of Water Resources, River Development & Ganga Rejuvenation  
Government of India  
1st Floor, Major Dhyan Chand  
National Stadium New Delhi – 110002  
Dated: 7 March 2019

To,
Project Director (UPSMCG),  
State Mission for Clean Ganga-UP (SMCG-UP),  
Plot N0-18, Sector-7, Gomti Nagar Extension,  
Lucknow - 226010

Sub: Administrative Approval and Expenditure Sanction for the project on “Faecal Sludge Management” for abatement of pollution in River Ganga at Chunar (District Mirzapur), Uttar Pradesh.

Sir,

I am directed to convey the Administrative Approval and Expenditure Sanction for the project on “Faecal Sludge Management” for abatement of pollution in River Ganga at Chunar (District Mirzapur), Uttar Pradesh, Under Namami Gange Program at an estimated cost of ₹ 270.32 Lakh (Rupees Two Hundred Seventy Lakh Thirty Two Thousand only) plus applicable taxes with 100% central funding with the following major project components.

- 10 KLD Faecal Sludge Treatment Plant  
- Vacuum Tankers  
- Creating Enabling Environment-  
  (i) training of ULB & UP-SMCG officials and public participation and  
  (ii) Sanitation Surveys, geo tagging of all properties along with web based GIS & MIS  
- Operation & Maintenance for five years.

2. The summary of project cost is given below and detailed cost break-up is at Annexure-I.

<table>
<thead>
<tr>
<th>S. No</th>
<th>Description of Work</th>
<th>₹ in Lakh</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Costs to be borne by Central Government</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Capital Works Cost</td>
<td>193.48</td>
</tr>
<tr>
<td>2</td>
<td>Other Cost (Training, Survey, Geo-tagging &amp; Supervision Charges)</td>
<td>28.98</td>
</tr>
<tr>
<td>3</td>
<td>5 Year's O &amp; M Cost</td>
<td>47.86</td>
</tr>
<tr>
<td></td>
<td><strong>Total Project Cost</strong></td>
<td><strong>270.32</strong></td>
</tr>
</tbody>
</table>

Taxes as applicable

3. Administrative Approval and Expenditure Sanction for the project is granted subject to the conditions as per Annexure –II.

4. The period of completion of the project is 12 (Twelve) months from the date of this sanction, including bidding/ tendering process, award of work, as per Annexure – III, and 5 years Operation & Maintenance.
5. The grantee institution i.e. ‘Uttar Pradesh - State Mission for Clean Ganga’, is an agency of the State Government constituted with the objective of serving as the dedicated institution for effective implementation of the Namami Gange Programme activities at the State level, and the State is responsible in the long term for the conservation and health of the State’s stretch of the river Ganga.

6. State Mission for Clean Ganga – UP (SMCG) may itself undertake this project as executing agency or may decide a suitable executing agency.

7. The bidding should be on technology neutral basis but the bid documents should clearly identify the applicable feasible technologies in consultation with the knowledge partner i.e. Centre for Science & Environment, New Delhi.

8. SMCG-UP shall facilitate implementation of the necessary policy directions at the ULB level so as to institutionalize the septic tanks de-sludging mechanism and create public awareness.

9. The compliance with the observations of TPA & NMCG must be ensured by UP-SMCG. Further, UP-SMCG may ensure that there are no overlaps with the works being undertaken by GOUP and obtain necessary NOCs, including land availability for the project before awarding the contract.

10. Any procurement of goods, works and consultancy if required by UP-SMCG as part of implementing the project proposal shall be made strictly as per the applicable prevailing procurement guidelines of Govt. of India/ NGRBA framework/ State Govt.

11. The project will be funded under NGP Component (Component B of Namami Gange). The NMCG/ Government of India reserves the right to withdraw the sanction at any stage, if it is convinced that the fund has not been properly utilized or appropriate progress is not being made.

12. In case of violation of any of the conditions of the letter of award or in case of closure or dissolution of the executing agency, the Government shall take possession of all the assets of the organization acquired out of the Government funds and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion.

13. This AA&ES is issued based on the appraisal and sanction of the Executive Committee (EC) vide its 20th meeting held on 15th February 2019, and under the financial powers delegated vide Statutory Order published vide Notification of Ministry of Water Resources, River Development and Ganga Rejuvenation dated 7th October 2016, with the approval of Director General – National Mission for Clean Ganga and concurrence of Executive Director (Finance) vide Dy. No. ED (F)/ 2018-19/ 1176 dated 07.03.2019.

Yours faithfully,

(Roopesh Srivastava)
Director (Projects)

Copy forwarded for information & compliance of below mentioned conditions to:
1) The Chief Secretary, Government of Uttar Pradesh, Lucknow-226001.
2) Shri Manoj Kumar Singh, Project Director, State Mission for Clean Ganga-UP, Plot No - 18, Sector - 7, Gomti Nagar Extension, Lucknow – 226010.
3) Shri Suresh Rohilla, Program Director, Centre for Science and Environment, 41, Tughlakabad Institutional Area, New Delhi - 110062.
4) Executive Officer, Nagar Palika Parishad, Lower Line, Main Market Rd, Aebakpur Mohana, Chunar, Mirzapur, Uttar Pradesh 231304.

Copy forwarded for information to:-
1) PS to Hon’ble Minister (WR, RD & GR), Shram Shakti Bhawan, N. Delhi-110001
2) PPS to Secretary, MoWR, RD & GR, Shram Shakti Bhawan, New Delhi-110001
3) PS to Director General, NMCG
4) Executive Director (Finance), NMCG, N. Delhi
5) Executive Director (Projects), NMCG, N. Delhi
6) Executive Director (Technical), NMCG, N. Delhi
7) Executive Director (Admin), NMCG, N. Delhi
8) Sanction Folder /Guard File/Computer Cell, MIS/ NMCG.
9) Prof. Abid Ali Khan, Assistant Professor, Room No. 224, 2nd Floor, Department of Civil Engineering, Gate No. 1, Jamia Millia Islamia, MA Johar Marg, New Delhi-110025.

(Roopesh Srivastava)
Director (Projects)
Summary of cost of the project proposal of
"Faecal Sludge Management" at Chunar (District Mirzapur) in Uttar Pradesh
under Namami Gange Programme

<table>
<thead>
<tr>
<th>Sl</th>
<th>Item</th>
<th>Est. Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Technical FSTP Module</td>
<td>94.78</td>
</tr>
<tr>
<td>2</td>
<td>Non Technical Modules like Office, Parking, Landscaping etc.</td>
<td>79.78</td>
</tr>
<tr>
<td>3</td>
<td>Other Costs – Vacuum Tankers, Access Road</td>
<td>18.92</td>
</tr>
<tr>
<td>4</td>
<td>Total Capital Cost</td>
<td>193.48</td>
</tr>
<tr>
<td>5</td>
<td>(i) training of ULB &amp; UP-SMCG officials as well as geo tagging of all</td>
<td>15.00</td>
</tr>
<tr>
<td></td>
<td>the properties and public participation</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(ii) Sanitation Surveys, geo tagging of all properties along with</td>
<td>7.00</td>
</tr>
<tr>
<td></td>
<td>web based GIS &amp; MIS</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(iii) 4% Project Supervision charges</td>
<td>6.98</td>
</tr>
<tr>
<td>6</td>
<td>O&amp;M for Five Years</td>
<td>47.86</td>
</tr>
<tr>
<td></td>
<td><strong>Total Cost</strong></td>
<td><strong>270.32</strong></td>
</tr>
</tbody>
</table>

(plus applicable taxes)
Annexure-II

Conditions on Administrative Approval and Expenditure Sanction for the project on "Faecal Sludge Management" in Chunar, Dist. Mirzapur in Uttar Pradesh under Namami Gange Programme

1.0 General Conditions:

i. ‘Uttar Pradesh State Mission for Clean Ganga, which is a registered society, shall be responsible for overall planning, management and effective implementation of the project at state level.

ii. ‘Nagar Palika Parishad, Chunar’ i.e. ‘Municipal Corporation of Chunar’ shall be the Urban Local body (ULB) responsible for ensuring commitment to ownership, commitment to reforms for sustainable O & M, and community involvement.

iii. The Uttar Pradesh State Mission for Clean Ganga (UP-SMCG) shall be the Executing Agency (EA) of the project or may itself decide a suitable executing agency, in coordination and consultation with the ULB and overall monitoring of the National Mission for clean Ganga (NMCG) as per provisions laid down in the NGRBA programme framework.

iv. State Government/ CSE shall submit a final DPR in accordance with the TPA observation and approval for the project.

v. State Government/ Executing Agency shall comply with the orders/ directions of Hon’ble Court and NGT, if any.

vi. State Government/ Executing Agency shall comply with all the observations of TPA/ NMCG before bidding and during implementation wherever required.

vii. State Government/ Executing Agency shall follow the applicable procurement procedures.

viii. State Government/ Executing Agency shall ensure reuse of treated sludge and wastewater.

ix. State Government/ Executing Agency shall generate Faecal Sludge/ Septage data and wastewater characteristics and river water quality monitoring through actual monitoring and analysis at regular intervals (atleast monthly basis).

x. The project will be executed in DBOT mode on technology neutral basis but the bid documents should clearly identify the applicable feasible technologies in consultation with the knowledge partner i.e. Centre for Science & Environment, New Delhi and needs to be suitably aligned with the 'Strategic Sanitation Plan'/ 'City Sanitation Plan'/ 'Master Plan' for Chunar city. Also, synergy shall be ensured with other Central/State sponsored programme like JnNURM, UIDSSMT etc. in the city of Chunar to avoid any overlap/ duplication.

xi. The cost towards 5 years Operation and Maintenance (O & M) has been included in the project cost. However, Operation and Maintenance (O & M) of the project thereafter will be responsibility of the State Government/ Urban Local Body. The O & M shall include basic cleaning and waste management for the proposed FSSTP area. Sustainable revenue generation from beneficiaries, re-use of treated effluent and waste to energy etc. shall be encouraged.

xii. The detailed project implementation plan, detailed design and engineering of the project shall be undertaken by UP-SMCG based on survey and investigation before execution.

xiii. Disaster management concerns shall also be taken into account while executing the project and applicable guidelines from NDMA should be complied with. SMCG-UP shall facilitate implementation of the necessary policy directions at the ULB level so as to institutionalize the septic tanks de-sludging mechanism and create public awareness.
xiv. EA/ ULB shall expedite creating enabling environment as envisaged in the DPR to ensure optimum utilization of the assets created. UP-SMCG to ensure that the Faecal Sludge and Septage Management (FSSTP) being sanctioned vide this letter is not being proposed in any other scheme.

xv. All components of the project shall be completed within specified time limits and the resources and outputs and outcomes are to be ensured as envisaged in the approved project. Completion Report shall be submitted to NMCG on completion of construction of the project.

xvi. Any additional component relevant for project or any component require modification or deletion, may be added or modified or deleted as the case may be, only with the prior approval of the Competent Authority.

xvii. Any project cost overrun or time overrun will be brought in the notice of NMCG. Decision of cost overrun and time overrun will be granted based upon discussion in Executive Committee (EC) of NMCG.

xviii. Staffs that may be employed for preparation, execution or operation of the project by the SMCG/ EA are not to be treated as employees of the SMCG/ NMCG. The deployment of such staff at the time of completion or termination of the project will not be the concern or responsibility of the SMCG/ NMCG.

xix. Optimal utilization of the assets relating to the project and created under Ganga Action Plan or any other Central/ State Plan shall also be ensured by the SMCG/ EA/ ULB.

xx. All data, records, documents and material related to the project shall be stored properly and catalogued by the SMCG/ EA for reference and retrieval including regular uploading/ disclosure/ updating of such data on website.

xxi. The State/ SMCG/ EA shall ensure that all provisions of the RTI Act 2005 are adhered to as far as information pertaining to the project is concerned.

xxii. The State/ SMCG/ ULB shall ensure that public is informed in the State/ city of Chunar regarding implementation of the project and soliciting their co-operation and views as applicable.

xxiii. For the provisions made under IEC activities, the SMCG shall make suitable arrangements with Nagar Palika, Chunar for executing the 'Communication and Public Outreach' programme under its supervision towards sensitization of people for abatement of pollution and conservation of river Ganga.

xxiv. Conditions/ commitments indicated in the Executive Committee Memorandum, Minutes and other documents including those to be fulfilled before finalizing the bid document(s) shall be strictly adhered to in the project implementation and management. The SMCG will ensure fulfillment of such conditions before finalizing the bid(s) by the EA. Copies of EC Memorandum, Minutes and other related documents are already circulated.

2.0 The release of funds is subject to the following terms and conditions:

2.1 Annual Plan and Procurement Plan:

i. The Annual Plan and yearly Procurement Plan shall be prepared by the month of November every year for the next financial year as per the provisions made in the NGRBA Programme framework and submitted by the SMCG to the NMCG for necessary approval and budget allocations.
ii. The SMCG shall furnish to the NMCG annually a report of its work within three months from the closing of the respective financial year.

2.2 Financial Aspects:

i. Funds shall be made available to the agencies strictly as per the 'Financial Management Manual (FMM)' of the NGRBA Programme framework.

ii. The SMCG/ULB shall take all necessary legal and executive measures to ensure adequate resources available for operation & maintenance of the assets created under the Project to fulfill its mandate.

iii. The funds released for the project shall be held in an interest earning NGRB project bank account of the SMCG (the mother account). The interest thus earned shall be credited to the project and reflected in the Interim Un-Audited Financial Reports (IUF Rs) from time to time and shall be adjusted towards future funds release for the project. For any diversion of funds, the signatory of the bank account(s) shall be held responsible.

iv. The SMCG/EA are not permitted to seek or utilize funds for the same purpose from any other organization (Government, semi-Government, autonomous or private) without prior approval of the competent authority.

v. The sanctioned amount should be spent exclusively thereon as per the scope of the project and within the stipulated time. For carrying forward any work(s)/activities beyond the specified time limit prior approval of the NMCG should be obtained.

vi. It is the responsibility of the SMCG/EA/ULB to ensure that the assets are exclusively used for the purpose for which the grant is sanctioned and to maintain the assets and their records properly.

vii. All the assets acquired/created out of the grants shall not be disposed of, encumbered, or utilized for any purpose other than that for which sanctioned without prior approval of the Government.

2.3 Audit:

i. The Comptroller & Auditor General of India (the External Auditor) at his discretion shall have the right of access to the project related books and accounts of the SMCG/EA for the purpose of Audit.

ii. The books of accounts of the grantee, relating to this grant, shall be open to Audit by the Internal Auditor of the SMCG and the External Auditor.

iii. The overall auditing arrangements to cover both periodical internal and annual external audit of project shall be ensured as per the 'Financial Management Manual (FMM)' of the NGRBA Programme framework.

2.4 Flow of Funds:

The fund releases by the NMCG shall be remitted by Electronic transfer to the SMCG account. The fund shall flow from the SMCG account to a separate sub-project specific zero balance bank account (the 'child account') of the EA/SMCG in the same bank of SMCG. The following details may be kept updated from time to time to enable electronic remittance:-

i. Bank account details of EA/SMCG both in figures and words to NMCG.

iii. Necessary authorization by the SMCG to its Bank to receive the remittances on its behalf and to issue necessary bank receipt to the NMCG’s bank for receipt of funds.

iv. Necessary authorization by the EA/SMCG to its bank and under such authorization, to issue payment instruction to pay contractors/suppliers/service providers for undertaking project activities.

v. The SMCG Bank will transfer funds from the SMCG’s account (mother account) to the child account of EA as soon as payment instruction is issued by the EA to its banker for transfer funds to contractors/suppliers/service providers account on the same date through 'Real Time Gross Settlement (RTGS)' and thus at the end of any given day, the EA child account will always have a zero balance.

2.5 Submission of Monthly and Quarterly Physical Progress Report (MPPR / QQPR):

i. The Monthly Physical Progress Reports (MPPRs) shall be submitted by the 5th day of every month regularly by the EA to the SMCG and by the 10th day of every month regularly by the SMCG to the NMCG. The Quarterly Physical Progress Reports (QQPRs) shall be submitted to the Uttar Pradesh State Ganga River Conservation Authority and NMCG within 30 days from the end of each quarter.

ii. The MPPRs in standard format, to be developed by the EA in consultation with SMCG, shall be signed by at least two designated officers of the EA, one of whom will be Chief Project Coordinator, UP-SMCG and also by at least two designated officers of the SMCG, one of whom will be the Programme/Project Director.

iii. The signing officers will indicate her/his name and designation in full in capital letters and affix official seal under the signature. While MPPR submitted by fax will be acceptable for commencement of processing the case, ink-signed MPPR must follow by Post.

iv. Consistency between physical progress and expenditure shall be maintained and reasons for substantial variations i.e., more than 10% shall be appropriately explained against each item.

2.6 Submission of Accounting and Financial Reports (AFR) by the EA:

i. The EA shall furnish monthly 'Accounting and Financial reports (AFRs)' by the 10th day of every month with all relevant documents and materials as per the 'Financial Management Manual (FMM)’ of the NGRBA Programme framework after commencement of the project to the SMCG and by the 20th day of every month regularly by the SMCG to the NMCG.

ii. The 'Accounting and Financial Report (AFR)' in standard format, to be developed by the EA in consultation with SMCG, shall be signed and stamped by the Head of the Accounts Department and Head of the Organization, UP-SMCG.

iii. The name and designation of the officers signing the AFR shall be clearly mentioned in full and in capital letters. While AFR submitted by fax will be acceptable for commencement of processing the case, ink-signed AFR must follow by Post.

iv. As part of the AFR, the EA shall submit the followings to the SMCG/ NMCG:

v. Invoices of suppliers/contractors against which online payment instructions issued by the EA in the previous month.

vi. A list of invoices received and not paid during the previous month.

vii. A list of contracts signed during the previous month.

2.7 Submission of Utilization Certificates (UCs):
i. The quarterly Utilization Certificates (UCs) in the prescribed format as per GFR 2017 shall be furnished by the EA to the SMCG in respect of grant-in-aid received during the various quarters within 30 days from the end of quarter, duly signed and stamped by the Head of the Organization, Head of the Accounts Department and field level functionary at executive engineer level / Principal Investigator, as applicable.

ii. The SMCG shall submit quarterly UCs, duly countersigned and stamped by the Head of the SMCG and the State authorities within 15 days on receipt of the same from the EA.

2.8 Inspection and Monitoring:

i. The 'Uttar Pradesh State Mission for Clean Ganga' and its Standing Executive Committee shall ensure close monitoring and evaluation of progress of the project, and also monitor implementation performance of the EA.

ii. The SMCG shall ensure appointment of agency (ies) for third party inspection (TPI)/ evaluation of the project strictly as per letter No. A-12012/2/2010-NRCD-II dated 16th September, 2010. The SMCG/EA shall submit copies of the TPI Reports along with their responses/ comments to the NMCG. Releases of funds will be subject to compliance of TPI reports.

iii. City level Citizen's Monitoring Committees (CMC) shall be constituted in the Chunar city to serve as a transparency mechanism on flow of project / Programme related information to citizens and key stakeholders and to garner their feedback on project/ Programme processes, as described in the NGRBA Programme framework. Social audit will be conducted by the CMC as per the provisions of the NGRBA Programme framework.

iv. NMCG may depute any person to visit the SMCG/ EA for the purpose of monitoring its work and accounts of the SMCG. Full co-operation shall be provided by the EA to the persons deputed for inspection.

v. Time and cost overrun leading to delay in implementation of projects is viewed with serious concern by the Central Government and as per instructions contained in Cabinet Secretary’s D.O. letter No. 261/1/10/2000-Cabinet, dated June 4, 2001 read with Planning Commission’s D.O. letter No. O-14015/2/98-PAMD, dated 19.08.1993 (kindly visit NGRBA website/ ‘Project Monitoring’ for details), mandatory review of the project must be carried out from time to time so as to assess the expenditure trend and time schedule of the project and appropriate action against those responsible for delay shall be taken, in accordance with the instructions.

vi. The Director General, NMCG may monitor overall progress of project periodically from time to time.
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<tr>
<th>A11</th>
<th>A10</th>
<th>A9</th>
<th>A8</th>
<th>A7</th>
<th>A6</th>
<th>A5</th>
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<th>A2</th>
<th>A1</th>
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</thead>
<tbody>
<tr>
<td>Implementation of city-wide scheduled dustbin</td>
<td>Evaluation of pilot study and compilation of strategy for implementation city-wide scheduled dustbin</td>
<td>Implementation pilot scale scheduled dustbin in the selected ward</td>
<td></td>
<td>Create &amp; enforce the prohibition of Electronic waste &amp; Personal Protective Equipment</td>
<td></td>
<td>Create prohibition Programme for G T L, service providers, mess, Operators etc.</td>
<td></td>
<td>Conduct GIS survey for garbage &amp; refuse of all properties in the city</td>
<td></td>
<td>Frame and enforce bye-laws for - 1. Disposal of FSS &amp; all departments in PSS only. 2. Collection of sanitation charge (minimum of Rs.25) from each household (mutually in</td>
</tr>
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### Milestones and Timeline

<table>
<thead>
<tr>
<th>Milestones and Timeline</th>
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</thead>
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Annexure – III

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