The Project Director,
SPMG, UPGRCA,
2-Lal Bahadur Shastri Marg,
Lucknow-226001, U.P.

Sub: Administrative Approval and Expenditure Sanction for the project on "Ghat improvement at river Ganga in Varanasi " UP under Namami Gange Programme at an estimated cost of Rs. 11.73 Crore (Eleven crore seventy three lakh only) with 100% central funding.

Sir,

I am directed to convey the Administrative Approval and Expenditure Sanction for the project on "Ghat improvement at river Ganga in Varanasi "in Uttar Pradesh at an estimated cost of Rs. 11.73 Crore (Eleven crore seventy three lakh only) with 100% central funding for 26 Ghats as listed in Annexure-I.

2. Major works proposed in repair and consolidation of 26 Ghats are as following:
   a. Bolder pitching and RCC work at the damaged Platform and Kaccha parts at identified Ghats.
   b. Repair work for damaged stairs and platforms of identified Ghats.
   c. Launching Aprons at the required Ghats for safety of banks from scouring and erosion.
   d. Face-works of RCC works.

3. The summary of project cost is given at Annexure-I.

4. Administrative Approval and Expenditure Sanction for the project is granted subjected to the conditions as per Annexure —II.

5. The period of completion of the project is 12 (Twelve) months from the date of this sanction, including bidding process and award of work.

6. The grantee institution i.e. 'Uttar Pradesh Rajya Ganga Nadi Sanrakshan Abhikaran', the State Programme Management Group (SPMG), is an agency of the State Government constituted with the objective of serving as the dedicated institution for effective implementation of the NGRBA programme activities at the State level, and the State is responsible in the long term for the conservation and health of the State's stretch of the river Ganga.

7. Varanasi Nagar Nigam (VNN), has been identified as the executing agency and entrusted to take up this work pertaining to ghat improvement in town of Varanasi in Uttar Pradesh along river Ganga.

8. The compliance with the observations of TPA & EC may be ensured by VNN. Further, VNN may get in touch with the local authorities / UP SPMG to
ensure that there are no overlaps with the works being undertaken by GOUP under any other scheme. VNN may obtain necessary permissions / clearances, wherever required, for the project before awarding the contract.

9. The project will be implemented by VNN on EPC mode.

10. Any procurement of goods, works and consultancy if required by VNN as part of implementing the project proposal shall be made strictly as per the prevailing procurement guidelines of Govt. of India/ NGRBA framework.

11. The funds for expenditure on the schemes would be debitable to the ‘Ghat Works for Beautification of River Front Component’ of the NMCG. The NMCG/Government of India reserves the right to withdraw the sanction at any stage, if it is convinced that the fund has not been properly utilized or appropriate progress is not being made.

12. In case of violation of any of the conditions of the letter of award or in case of closure or dissolution of the executing agency, the Government shall take possession of all the assets of the organization acquired out of the Government funds and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion.

13. This AA&ES is issued based on the appraisal and sanction of the Executive Committee (EC) vide its 8th meeting held on 3rd January 2018, and under the financial powers delegated vide Statutory Order published vide Notification of Ministry of Water Resources, River Development and Ganga Rejuvenation dated 7th October 2016, with the approval of Director General – National Mission for Clean Ganga and concurrence of Executive Director (Finance) vide Dy. No. 389/ED-Finance (NMCG) dated 11/1/2018.

Yours faithfully,

(Nityananda Ray)
Deputy Secretary, SMD

Copy forwarded for information to:-
1) PS to Hon’ble Minister of WR, RD&GR, Shram Shakti Bhawan, Rafi Marg, New Delhi-110001
2) PPS to Secretary, MoWR, RD&GR, Shram Shakti Bhawan, Rafi Marg, New Delhi-110001
3) The Chief Secretary, Government of Uttar Pradesh, Lucknow-226001.
4) Executive Director (Projects), NMCG
5) Executive Director (Finance), NMCG
6) Municipal Commissioner, Varanasi Nagar Nigam, Sigra, Varanasi, Uttar Pradesh
7) NMCG Officials / Sanction Folder /Guard File/Computer Cell, MIS, NMCG,

(Nityananda Ray)
Deputy Secretary, SMD
Annexure-I

Cost estimates for improvement of Bathing Ghats at river Ganga in Varanasi

<table>
<thead>
<tr>
<th>S.No.</th>
<th>Name</th>
<th>Amount (INR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Prabhu Ghat</td>
<td>53,52,731</td>
</tr>
<tr>
<td>2.</td>
<td>Bhadaini Ghat</td>
<td>88,60,048</td>
</tr>
<tr>
<td>3.</td>
<td>Janaki Ghat</td>
<td>27,94,484</td>
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<tr>
<td>4.</td>
<td>Anandmai Ghat</td>
<td>42,82,738</td>
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<tr>
<td>5.</td>
<td>Badrinarayan Ghat</td>
<td>47,67,690</td>
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<tr>
<td>6.</td>
<td>Gola Ghat</td>
<td>88,55,067</td>
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<tr>
<td>7.</td>
<td>Trilochan Ghat</td>
<td>24,72,882</td>
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<tr>
<td>8.</td>
<td>Naya Ghat</td>
<td>32,83,831</td>
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<tr>
<td>9.</td>
<td>Ram Ghat</td>
<td>34,76,428</td>
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<tr>
<td>10.</td>
<td>Nishadraj Ghat</td>
<td>33,32,775</td>
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<tr>
<td>11.</td>
<td>Gai Ghat</td>
<td>30,99,584</td>
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<tr>
<td>12.</td>
<td>Bundiparkota Ghat</td>
<td>38,84,281</td>
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<td>13.</td>
<td>Mansarovar Ghat</td>
<td>18,80,383</td>
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<td>14.</td>
<td>Jain Ghat</td>
<td>47,16,021</td>
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<td>15.</td>
<td>Sankatha Ghat</td>
<td>32,54,425</td>
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<td>16.</td>
<td>Pandey Ghat</td>
<td>61,69,431</td>
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<tr>
<td>17.</td>
<td>Lal Ghat</td>
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<td>18.</td>
<td>Kedar Ghat</td>
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<td>19.</td>
<td>Chauki Ghat</td>
<td>49,76,035</td>
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<td>20.</td>
<td>Jatar Ghat</td>
<td>25,07,590</td>
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<td>21.</td>
<td>Sakka Ghat</td>
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<td>22.</td>
<td>Telianala Ghat</td>
<td>93,27,000</td>
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<td>23.</td>
<td>Raja Gwalior Ghat</td>
<td>22,51,500</td>
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<tr>
<td>24.</td>
<td>Narad Ghat</td>
<td>13,73,135</td>
</tr>
<tr>
<td>25.</td>
<td>Sheetala Ghat</td>
<td>29,00,714</td>
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<tr>
<td>26.</td>
<td>Nandeshwar Ghat</td>
<td>41,03,790</td>
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<tr>
<td><strong>B.</strong></td>
<td><strong>Total Cost of Work</strong></td>
<td><strong>10,76,20,313</strong></td>
</tr>
<tr>
<td>C.</td>
<td>Centage@8% cost of work (B)</td>
<td>86,09,625</td>
</tr>
<tr>
<td>D.</td>
<td>Labour Cess@1% cost of work (B)</td>
<td>10,76,203</td>
</tr>
<tr>
<td><strong>GRAND TOTAL (B+C+D)</strong></td>
<td><strong>11,73,06,141</strong></td>
<td></td>
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</table>

Say Rs. 11.73 Crore*

*GST, as applicable, shall be paid separately.
Annexure-II

Conditions on Administrative Approval & Expenditure Sanction for the project on "Ghat improvement at river Ganga in Varanasi" UP

1. General & Technical Conditions:
   i. Varanasi Nagar Nigam (VNN) will work as an Executing Agency (EA) for implementing the project and shall be responsible for overall planning, management and effective implementation of the project in support of State Project Management Group (SPMG).
   ii. The Central Government will provide 100% funding support (as per Cabinet approval of Namami Gange Program) for this project.
   iii. VNN may get in touch with the local authorities / UP SPMG to ensure that there are no overlaps with the works being undertaken by GoUP under any other scheme. The project also needs to be suitably aligned with the 'Strategic Sanitation Plan'/ 'City Sanitation Plan'/ 'Master Plan' for Varanasi town. Also, synergy shall be ensured with other Central/State sponsored programme like JnNURM, UIDSSMT etc. in the cities of Varanasi to avoid any overlap/duplication.
   iv. VNN shall ensure compliance with observation of TPA and NMCG along with EC conditions at the time of finalization of bid documents and during execution.
   v. The activities proposed under the project shall conform to all Environmental Legislations, any judicial orders in force at the site of work and the NGRBA programme framework.
   vi. VNN shall obtain all the statutory clearance/permission/licenses directly, wherever required, from different Government departments for implementation of the project.
   vii. Detailed design & implementation of the works should be based on extensive survey. Proper investigation should be carried out before execution to achieve economy in the proposal as well as to avoid any shortfall in the design.
   viii. Any cost overrun or time over run will be brought in the notice of NMCG. Decision of cost overrun and time overrun will be granted based upon discussion in Executive Committee (EC) of NGRBA. Cost overrun if any will be borne by the Central Government.
   ix. VNN shall follow all the prevailing government norms GFR 2017 and CVC guidelines, as amended, for selection of “contractors” and executing the works.
   x. Progress of implementation of the project shall be closely monitored by VNN in coordination support from State Government of Uttar Pradesh /SPMG so as to ensure that the project is completed within the stipulated period of time.
   xi. Regular monitoring of the project implementation in accordance with NGRBA framework.
   xii. The fund shall be strictly spent for the items agreed as per the AA&ES and should not utilized for funding any other items/activities.
xiii. The project will be implemented by VNN and will call tenders for its execution as per the prevailing procurement guidelines of Government of India/NGRBA Framework.

xiv. The detailed project implementation plan, detailed design and engineering of the project shall be undertaken by VNN based on extensive survey and investigation before execution. Disaster management concerns shall also be taken into account with respect to guidelines issued by MoF and other governing organizations regarding the same as applicable be adhered to during project execution.

xv. NMCG may depute any person or Third Party Inspection (TPI) agency for the purpose of monitoring the work and accounts of VNN for the present scope of work.

xvi. The progress (physical & Financial, including funds utilization certificates) needs to be reported by VNN to NMCG on regular basis and as and when requested.

xvii. VNN need to comply all the observation of NMCG, and Third Party Inspection (TPI) agency, if any during the project implementation.

xviii. All components of the project shall be completed within specified time limits and the resources and outputs and outcomes are to be ensured as envisaged in the approved project Completion Report that shall be submitted to NMCG on completion of the project.

xix. Optimal utilization of the assets relating to the project and created under Ganga Action Plan or any other Central/ State Plan shall also be ensured by the SPMG/ EA.

xx. Any additional component relevant for project or any component require modification or deletion, may be added or modified or deleted as the case may be, only with the prior approval of the NMCG.

xxi. Staff that may be employed for preparation or execution of the programme by VNN are not to be treated as employees of the NMCG / SPMG. The deployment of such staff at the time of completion or termination of the project will not be the concern or responsibility of the NMCG / SPMG.

xxii. All data, records, documents and material related to the project shall be stored properly and catalogued by VNN for reference and retrieval including regular uploading /disclosure/updating of such data on website.

xxiii. VNN shall ensure that all provisions of the RTI Act 2005 are adhered to as far as information pertaining to the project is concerned.

xxiv. The State/ SPMG/ EA shall ensure that public is informed in the State/ city of Varanasi regarding implementation of the project and soliciting their co-operation and views as applicable.

xxv. VNN will ensure before awarding of work that land is under their possession and free from encumbrances.

xxvi. Conditions/commitment indicated in the Executive Committee (EC) Memo. Minutes and other documents including those to be fulfilled before start of the programme shall be strictly adhered to in the project implementation and management.

[Signature]
2. The release of funds is subject to the following terms and conditions:

2.1 Annual Plan and Procurement Plan:
   i. The Annual Plan and yearly Procurement Plan shall be prepared by the month of November every year for the next financial year as per the provisions made in the NGRBA programme framework and submitted by the SPMG to the NMCG for necessary approval and budget allocations.
   ii. The SPMG shall furnish to the NMCG annually a report of its work within three months from the closing of the respective financial year.

2.2 Financial Aspects:
   i. Funds shall be made available to the agencies strictly as per the ‘Financial Management Manual (FMM)’ of the NGRBA programme framework.
   ii. The SPMG/ULB shall take all necessary legal and executive measures to ensure adequate resources are available for operation & maintenance of the assets created under the Project to fulfill its mandate.
   iii. SPMG / EA is not permitted to seek or utilize funds for the same purpose from any other organization (Government, semi-Government, autonomous or private) without prior approval of the NMCG.
   iv. The sanctioned cost of the project will be met from the ‘Ghat Works for Beautification of River Front Component’ of NMCG. The sanctioned amount should be spent exclusively thereon as per the scope of the project and within the stipulated time. For carrying forward any work(s) /activities beyond time limit and / or modification in the project scope, prior approval of the NMCG should be obtained.
   v. The funds released for the project shall be held in an interest earning NGRB project bank account of the SPMG (the mother account). The interest thus earned shall be credited to the project and reflected in the Interim Un-Audited Financial Reports (IUFRs) from time to time and shall be adjusted towards future funds release for the project. For any diversion of funds, the signatory of the bank account(s) shall be held responsible.
   vi. It is the responsibility of the SPMG/VNN to ensure that the assets are exclusively used for the purpose for which funds are sanctioned and to maintain the assets and their records properly.
   vii. All the assets acquired/created out of the central funds shall not be disposed of, encumbered, or utilized for any purpose other than that for which sanctioned without prior approval of NMCG.

2.3 Audit:
   i. The Comptroller & Auditor General of India (the External Auditor) at his discretion shall have the right of access to the project related books and accounts of the VNN for the purpose of Audit.
   ii. The books of accounts of the executing agency, relating to this letter of award, shall be open to Audit by any authorized officer of NMCG or any other person authorized by NMCG in this regard.
iii. The overall auditing arrangements to cover both periodical internal and annual external audit of project shall be ensured as per the 'Financial Management Manual (FMM)' of the NGRBA programme framework.

2.4 Flow of Funds:
i. The fund releases by the NMCG shall be remitted by Electronic transfer to the SPMG account. The fund shall flow from the SPMG account to a separate sub-project specific zero balance bank account (the 'child account') of the EA in the same bank of SPMG. The following details may be kept updated from time to time to enable electronic remittance:-
   a) Bank account details of EA, both in figures and words, to SPMG.
   b) Bank account details of EA and SPMG both in figures and words to NMCG.
   c) MICR Code and IFSC Code of the Bank Branch (es).

ii. Necessary authorization by the SPMG to its Bank to receive the remittances on its behalf and to issue necessary bank receipt to the NMCG's bank for receipt of funds.

iii. Necessary authorization by the EA to its bank and under such authorisation, to issue payment instruction to pay contractors / suppliers / service providers for undertaking project activities.

iv. The NMCG Bank will transfer funds from the NMCG's account to the SPMG account on submission of Interim Un-Audited Financial Report (IUFR) and other documents / information, as applicable and as prescribed in the Financial Management Manual (FMM), of the NGRBA programme framework.

v. The SPMG Bank will transfer funds from the SPMG's account (mother account) to the child account of EA as soon as payment instruction is issued by the EA to its banker for transfer funds to contractors / suppliers / service providers account on the same date through 'Real Time Gross Settlement (RTGS)' and thus at the end of any given day, the EA child account will always have a zero balance.

2.5 Submission of Monthly and Quarterly Physical Progress Report (MPPR/QPRR):
i. The Monthly Physical Progress Reports (MPPRs) shall be submitted by the 5th day of every month regularly by the EA to the SPMG and by the 10th day of every month regularly by the SPMG to the NMCG. The Quarterly Physical Progress Reports (QPRRs) shall be submitted to the State Ganga Council and NMCG within 30 days from the end of each quarter.

ii. The MPPRs in standard format, to be developed by the EA in consultation with SPMG, shall be signed by at least two designated officers of the EA, one of whom will be Chief Project Coordinator, UPJN and also by at least two designated officers of the SPMG, one of whom will be the Programme / Project Director.

iii. The signing officers will indicate her/his name and designation in full in capital letters and commencement of processing the case, ink-signed MPPR must follow by Post.
iv. Consistency between physical progress and expenditure shall be maintained and reasons for substantial variations i.e., more than 10% shall be appropriately explained against each item.

2.6 Submission of ‘Accounting and Financial Reports (AFR)’ by the EA:
   i. The EA shall furnish monthly ‘Accounting and Financial reports (AFRs)’ by the 10th day of every month with all relevant documents and materials as per the ‘Financial Management Manual (FMM)’ of the NGRBA programme framework after commencement of the project to the NMCG.
   ii. The ‘Accounting and Financial Report (AFR)’ in standard format, to be developed by the EA in consultation with NMCG, shall be signed and stamped by the Head of the Accounts Department and Head of the Organization, VNN.
   iii. The name and designation of the officers signing the AFR shall be clearly mentioned in full and in capital letters. While AFR submitted by fax will be acceptable for commencement of processing the case, ink –signed AFR must follow by Post.
   iv. As part of the AFR, the EA shall submit the following to the SPMG:
      (a) Invoices of suppliers /contractors against which online payment instruction issued by the EA in the previous month.
      (b) A list of invoices received and not paid during the previous month.
      (c) A list of contracts signed during the previous month.

2.7 Submission of Interim Un-Audited Financial Report (IUFR) by the SPMG: Applicable if funded under WB assisted project
   i. The SPMG shall submit quarterly Interim Un-Audited Financial Report (IUFR) to the NMCG within 30 days from the end of each quarter. The IUFR shall be submitted in standard format as prescribed by the NMCG.
   ii. The IUFR shall be signed and stamped by the Head of the Accounts Department and the Programme Project Director duly countersigned by the State authorities.
   iii. The name and designation of the officers signing the IUFR shall be clearly mentioned in full and in capital letters. While IUFR submitted by fax will be acceptable for commencement of processing the case, ink-signed IUFR must follow by Post.

2.8 Submission of Utilisation Certificates (UCs):
   i. The quarterly Utilisation Certificates (UCs) in the prescribed format (GFR 19A & 19B) shall be furnished by the EA to the SPMG in respect of grant-in-aid received during the various quarters within 30 days from the end of quarter, duly signed and stamped by the Head of the Organisation, Head of the Accounts Department and field level functionary at executive engineer level I Principal Investigator, as applicable.
   ii. The SPMG shall submit quarterly UCs, duly countersigned and stamped by the Head of the SPMG and the State authorities within 15 days on receipt of the same from the EA.
2.9 Inspection and Monitoring:

i. The 'Uttar Pradesh State Ganga River Conservation Authority' and its Standing Executive Committee shall monitor the project from time to time. 'Uttar Pradesh Ganga Nadi Sanrakshan Abhikaran', the State Programme Management Group (SPMG) shall ensure close monitoring and evaluation of progress of the project, and also monitor implementation performance of the EA.

ii. The SPMG shall ensure appointment of agency (ies) for third party inspection (TPI) / evaluation of the project strictly as per letter No. A-12012/2/2010-NRCD-II dated 16th September, 2010. The EA through the SPMG shall submit copies of the TPI Reports along with their responses/comments to the NMCG. Releases of funds will be subject to compliance of TPI reports.

iii. City level Citizen's Monitoring Committees (CMC) shall be constituted in the Varanasi city to serve as a transparency mechanism on flow of project / programme related information to citizens and key stakeholders and to garner their feedback on project / programme processes, as described in the NGRBA programme framework. Social audit will be conducted by the CMC as per the provisions of the NGRBA programme framework.

iv. NMCG may depute any person to visit the SPMG/ EA for the purpose of monitoring its work and accounts of the SPMG. Full co-operation shall be provided by the EA to the persons deputed for inspection.

v. Time and cost overrun leading to delay in implementation of projects is viewed with serious concern by the Central Government and as per instructions contained in Cabinet Secretary's D.O. letter No. 261/1/10/2000-Cabinet, dated June 4, 2001 read with Planning Commission's D.O. letter No. 0-14015/2/98-PAMD, dated 19.08.1998 (kindly visit NGRBA website/ 'Project Monitoring' for details), mandatory review of the project must be carried out from time to time so as to assess the expenditure trend and time schedule of the project and appropriate action against those responsible for delay shall be taken, in accordance with the instructions.

vi. The Director General, NMCG may monitor overall progress of project periodically from time to time.