No.: Pr-23012/1/2018- O/o Project Development
National Mission for Clean Ganga
1st Floor,
Major Dhyan Chand National Stadium,
India Gate, New Delhi – 110002
Dated: 20 July 2018

To,
The Project Director,
SPMG, UPSGRCA,
2, Lal Bahadur Shastri Marg,
 Lucknow, UP – 226001

Subject: Administrative Approval and Expenditure Sanction for the project on "Community Toilets and Urinals for Cleanliness and Sanitation in Kumbh Mela 2019 at Allahabad" in Uttar Pradesh under Namami Gange at an estimated cost of Rs. 113 Crore (Rupees One Hundred Thirteen Crore only) with funding from National Ganga Plan

Sir,

I am directed to convey the administrative approval and expenditure sanction for the project on "Community Toilets and Urinals for Cleanliness and Sanitation in Kumbh Mela 2019 at Allahabad" in Uttar Pradesh at an estimated cost of Rs. 113 Crore (Rupees One Hundred Thirteen Crore only) with funding from National Ganga Plan having following major components:

- Hiring of Community Toilets along with operation & maintenance in Kumbh Mela Area:
  - 10,000 Community FRP toilets (with septic tank) in common areas
  - 7,500 Community FRP toilets (with soak pits – connected to sewer network) in common areas
  - 10,000 pre-fabricated toilets (with septic tanks) in common areas
- Hiring of Urinals, along with operation & maintenance, Kumbh Mela Area:
  - 20,000 Community FRP Urinals

2. The project cost summary is given below and detailed breakup is given at Annexure I.

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>Quantity</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Community Toilets in Mela Area</td>
<td>27500</td>
<td>90.50</td>
</tr>
<tr>
<td>B</td>
<td>Urinals in Mela Area</td>
<td>20000</td>
<td>22.50</td>
</tr>
<tr>
<td></td>
<td><strong>Gross Total</strong></td>
<td></td>
<td><strong>113.00</strong></td>
</tr>
</tbody>
</table>

3. Administrative approval and expenditure sanction for the project is granted subjected to the conditions as per Annexure –II.
4. The project implementation period is from January 2019 to March 2019, excluding bidding period.
5. Health Department, Allahabad, Government of Uttar Pradesh has been identified as the executing agency (EA) and entrusted to take up this work pertaining to cleanliness and sanitation in Kumbh Mela 2019 in town of Allahabad in Uttar Pradesh along the river Ganga.
6. The compliance with the observations of TPA & NMCG will be ensured by the EA. Further, EA may get in touch with the local authorities / UPSPMG to ensure that there are no overlaps with the works being undertaken by Government of Uttar Pradesh under any other scheme. The observations of TPA are enclosed as Annexure III.
7. EA may obtain necessary permissions / clearances, wherever required, for the project before awarding the contract.
8. The EA will hire ‘toilets & urinals including operation & maintenance services under close supervision of Kumbh Mela Administration and UPSPMG on QCBS based tendering process.
9. Any procurement of goods, works, services and consultancy if required by the EA as part of implementing the project proposal shall be made strictly as per the applicable prevailing procurement guidelines.
10. The project will be funded under NGP Component (Component B – New Initiatives, Sewerage & Sanitation – Rural Sanitation of Namami Gange). The NMCG/Government of India reserves the right to withdraw the sanction at any stage, if it is convinced that the fund has not been properly utilized or appropriate progress is not being made.
11. In case of violation of any of the conditions of the letter of award or in case of closure or dissolution of the executing agency, the Government shall take possession of all the assets of the organization acquired out of the Government funds and use them in any manner deemed appropriate or to recover from the organization the value of such assets at its discretion.
12. This AA&ES is issued based on the approval of the Executive Committee (EC) vide its 13th meeting held on 26th June 2018, and under the financial powers delegated vide OM No. 1 (3)/PF.II/2001 dated 15.11.2007 amended vide OM number 24(35)/PF-I/2012 dated 29/08/2014 of the Ministry of Finance (Dept. Of Expenditure), with the approval of Director General – National Mission for Clean Ganga and concurrence of Executive Director (Finance) vide Dy. No. ED(F)/2018-19/839 dated 18.07.2017.

Yours faithfully,

(Nityananda Ray)  
Deputy Secretary, SMD

Copy forwarded for information & necessary action to:-
1) The Chief Secretary, Government of Uttar Pradesh, Lucknow-226001.
2) The Divisional Commissioner, Allahabad Division, Allahabad, Uttar Pradesh.

Copy forwarded for information to:-
1) PS to Hon’ble Minister (WR, RD & GR), Shram Shakti Bhawan, N. Delhi-110001
2) PPS to Secretary, MoWR, RD & GR, Shram Shakti Bhawan, New Delhi-110001
3) PS to Director General, NMCG
4) Executive Director (Projects) / Executive Director (Finance) / Executive Director (Technical) / Executive Director (Admin), NMCG, N. Delhi
5) NMCG Officials / Sanction Folder /Guard File/Computer Cell, MIS/ NMCG, TCE - PMC.
6) Prof. Jagan Shah, Director, NIUA, 1st and 2nd Floor, Core 4B, India Habitat Centre, Lodhi Road, New Delhi - 110003.

(Nityananda Ray)  
Deputy Secretary, SMD
Summary of cost of the project proposal of “Community Toilets and Urinals for Cleanliness and Sanitation in Kumbh Mela 2019 at Allahabad” in Uttar Pradesh under Namami Gange Programme

<table>
<thead>
<tr>
<th>S No</th>
<th>Item Description</th>
<th>Quantity</th>
<th>Unit Rate</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Community Toilets in Mela Area</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Community FRP toilets (with Septic tank) in common areas</td>
<td>10000</td>
<td>38000</td>
<td>38</td>
</tr>
<tr>
<td>2</td>
<td>Community FRP toilets (with soak pit connected to sewer network) in common areas</td>
<td>7500</td>
<td>38000</td>
<td>28.50</td>
</tr>
<tr>
<td>3</td>
<td>Pre-fabricated steel toilets (with septic tank) in common areas</td>
<td>10000</td>
<td>24000</td>
<td>24</td>
</tr>
<tr>
<td></td>
<td>Sub Total (A)</td>
<td>27500</td>
<td></td>
<td>90.50</td>
</tr>
<tr>
<td>B</td>
<td>Urinals in Mela Area</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Community FRP Urinals</td>
<td>20000</td>
<td>11250</td>
<td>22.50</td>
</tr>
<tr>
<td></td>
<td>Gross Total</td>
<td></td>
<td></td>
<td>113</td>
</tr>
</tbody>
</table>

(Amount in Rs. Crore)

Note: The above cost includes cost of hiring the community toilets, urinals along with their operation & maintenance services for three months.
Annexure-II

Conditions on Administrative Approval and Expenditure Sanction towards support for the project on “Community Toilets and Urinals for Cleanliness and Sanitation in Kumbh Mela 2019 at Allahabad” in Uttar Pradesh under Namami Gange Programme

1.0 General Conditions:

1. The Uttar Pradesh State Programme Management Group (UPSPMG), which is a registered society, shall be responsible for overall planning, management and effective implementation of the project at state level.
2. Health Department, Allahabad, Government of Uttar Pradesh has been identified as the executing agency (EA) for the project, to be implemented under the guidance of Kumbh Mela administration and UPSPMG, as per provisions laid down in the NGRBA programme framework.
3. The Central Government will provide funding support limited to the sanctioned amount for this project from National Ganga Plan.
4. The central funding shall be limited to ₹ 113 Crore and in case of lower tendered prices the number of toilets can be increased accordingly.
5. The observations of NMCG and TPA should be complied by the State Government/Executing Agency at the time of finalization of bid document and implemented during execution of project.
6. The bidding shall be done by EA under close supervision & guidance of Kumbh Mela Administration and UPSPMG. The bid documents should specify the desired service level benchmarks.
7. EA should ensure that service provider should supply the toilets / urinals in accordance with the Namami Gange branding and messages, to be decided in consultation with NMCG.
8. The EA, Kumbh Mela administration and UPSPMG shall ensure that there is no overlapping of activities specially by other central or state govt agency for the activities in the instant proposal / sanction order.
9. All the toilets as approved under Namami Gange programme shall have septic tank or sewer connected soak pit. The septic tanks shall have regular septage removal and treatment arrangements in line with existing standards/ guidelines.
10. Efforts should be made to maximize the number of Pre-fabricated steel toilets (with septic tank) beyond 10,000 so as result in reduction in Community FRP Toilets (with septic tank) and thereby effecting financial savings.
11. Kumbh Mela Adhikari and UPSPMG shall ensure close supervision and monitoring of the project implementation and submission of comprehensive completion report along with financial utilization certificate and expenditure statement.
12. The proposed cost of urinals is found to be significantly higher than the ones procured in Magh Mela 2018 and thus need to be carefully looked into during procurement by ensuring participation of maximum number of bidders.
13. The project also needs to be suitably aligned with the 'Strategic Sanitation Plan' / 'City Sanitation Plan' / 'Master Plan' for Allahabad towns. Also, synergy shall be ensured with other
Central/State sponsored programme like JnNURM, UIDSSMT, AMRUT, SBM etc. to avoid any overlap/duplication.

14. The toilets / urinals should carry Namami Gange logo and IEC messages to be decided in consultation with NMCG communication team.

15. Proper records of toilets installation, regular O&M and usages to be documented, conveyed to SPMG/ NMCG and kept.

16. The EA should define the service level benchmarks in the bid document and ensure their compliance.

17. The activities proposed under the project shall confirm to environmental legislations, any judicial orders in force at the site of work and the NGRBA programme framework.

18. The EA shall obtain all the statutory clearance/permission/licenses directly, wherever required, from different Government departments for implementation of the project.

19. Detailed design & implementation of the works should be based on extensive survey. Proper investigations should be carried out before execution to achieve economy in the proposal as well as to avoid any shortfall in the design.

20. Any cost overrun or time over run will be brought in the notice of NMCG. Decision of cost overrun and time overrun will be granted based upon discussion in Executive Committee (EC) of NGRBA.

21. The EA shall follow all the prevailing government norms (State / GFR 2017) and CVC guidelines, as amended, for selection of service providers / contractors.

22. Progress of implementation of the project shall be closely monitored by the EA in coordination support from Mela administration and State Government of Uttar Pradesh / UPSPMG so as to ensure that the project is implemented within the stipulated period of time and to the desired service level benchmarks to achieve the envisaged outputs and outcomes.

23. Regular monitoring of the project implementation in accordance with NGRBA framework.

24. The fund shall be strictly spent for the items agreed as per the AA&ES and should not utilize for funding of any other items/activities.

25. Disaster management concerns shall also be taken into account by EA with respect to guidelines issued by governing organizations and the same may be adhered to during project execution.

26. NMCG may depute any person agency for the purpose of monitoring the work and accounts of the EA for the present scope of work.

27. The progress (physical & financial, including funds utilization certificates) needs to be reported by the EA to NMCG on regular basis and as and when requested.

28. The EA need to comply all the observation of NMCG and Inspection agency, if any, during the project implementation.

29. Optimal utilization of the assets relating to the project and created under Ganga Action Plan or any other Central/ State Plan or otherwise also shall also be ensured by the SPMG/EA.

30. Any additional component relevant for project or any component require modification or deletion, maybe added or modified or deleted as the case may be, only with the prior approval of the NMCG.

31. Staffs that may be employed for preparation or execution of the programme by the EA are not to be treated as employees of the NMCG/ SPMG. The deployment of such staff at the
time of completion or termination of the project will not be the concern or responsibility of the NMCG / SPMG.

32. All data, records, documents and material related to the project shall be stored properly and catalogued by the EA for reference and retrieval including regular uploading / disclosure updating of such data on website.

33. EA shall ensure that all provisions of the RTI Act 2005 are adhered to as far as information pertaining to the project is concerned.

34. The State/ SPMG/ EA shall ensure that public is informed in the town of Allahabad regarding implementation of the project and soliciting their co-operation and views as applicable.

35. Conditions/ commitments indicated in the Executive Committee Memorandum, Minutes and other documents including those to be fulfilled before finalizing the bid document(s) shall be strictly adhered to in the project implementation and management. The SPMG will ensure fulfillment of such conditions before finalizing the bid(s) by the EA. Copies of EC Memorandum, Minutes and other related documents are already circulated.

2.0 The release of funds is subject to the following terms and conditions:-

2.1 Annual Plan and Procurement Plan:

i. The Annual Plan and yearly Procurement Plan shall be prepared by the month of November every year for the next financial year as per the provisions made in the NGRBA Programme framework and submitted by the SPMG to the NMCG for necessary approval and budget allocations.

ii. The SPMG shall furnish to the NMCG annually a report of its work within three months from the closing of the respective financial year.

2.2 Financial Aspects:

i. Funds shall be made available to the agencies strictly as per the 'Financial Management Manual (FMM)' of the NGRBA Programme framework.

ii. The SPMG/ ULBs shall take all necessary legal and executive measures to ensure adequate resources available for operation & maintenance of the assets created under the Project to fulfill its mandate.

iii. The funds released for the project shall be held in an interest earning NGRB project bank account of the SPMG (the mother account). The interest thus earned shall be credited to the project from time to time and shall be adjusted towards future funds release for the project. For any diversion of funds, the signatory of the bank account(s) shall be held responsible.

iv. The SPMG/ EA are not permitted to seek or utilize funds for the same purpose from any other organization (Government, semi-Government, autonomous or private) without prior approval of the competent authority.

v. The sanctioned amount should be spent exclusively thereon as per the scope of the project and within the stipulated time. For carrying forward any work(s)/ activities beyond the specified time limit prior approval of the NMCG should be obtained.
vi. It is the responsibility of the SPMG/ EA to ensure that the assets are exclusively used for the purpose for which the grant is sanctioned and to maintain the assets and their records properly.

vii. All the assets acquired/created out of the grants shall not be disposed of, encumbered, or utilized for any purpose other than that for which sanctioned without prior approval of the Government.

2.3 Audit:

i. The Comptroller & Auditor General of India (the External Auditor) at his discretion shall have the right of access to the project related books and accounts of the SPMG/ EA for the purpose of Audit.

ii. The books of accounts of the grantee, relating to this grant, shall be open to Audit by the Internal Auditor of the SPMG and the External Auditor.

iii. The overall auditing arrangements to cover both periodical internal and annual external audit of project shall be ensured as per the 'Financial Management Manual (FMM)' of the NGRBA Programme framework.

2.4 Flow of Funds:

i. The fund releases by the NMCG shall be remitted by Electronic transfer to the SPMG account based on quarterly demands. The payment to the service provider shall be made by SPMG based on certification by the respective EAs through Real Time Gross Settlement (RTGS).

ii. The following details may be kept updated from time to time to enable electronic remittance:
   a) Bank account details of Service Provider (s), both in figures and words, to SPMG.
   b) Bank account details of Service Provider (s) and SPMG both in figures and words to NMCG.
   c) MICR Code and IFSC Code of the Bank Branch (es).

iii. The NMCG Bank will transfer funds from the NMCG’s account to the SPMG account on submission of Utilization certificate and other documents / information, as applicable.

iv. SPMG may utilize the funds available with them under NGP component, if any additional funds required, these may be demanded from NMCG by way of quarterly fund demand.

2.5 Submission of Monthly and Quarterly Progress Report (MPR / QPR):

i. The Monthly Progress Reports (MPRs) shall be submitted by the 5th day of every month regularly by the EA to the SPMG and by the 10th day of every month regularly by the SPMG to the NMCG. The Quarterly Progress Reports (QPRs) shall be submitted to the UPSPMG and NMCG within 30 days from the end of each quarter.

ii. The MPRs in standard format, to be developed by the EA in consultation with SPMG, shall be signed by at least two designated officers of the EA and also by at least two designated officers of the SPMG, one of whom will be the Programme/ Project Director.

iii. The signing officers will indicate her/ his name and designation in full in capital letters and affix official seal under the signature.
2.6 Submission of Utilization Certificates (UCs):

i. The quarterly Utilization Certificates (UCs) in the prescribed format (GFR 19A & 19B) shall be furnished by the SPMG in respect of grant-in-aid received during the various quarters within 30 days from the end of quarter, duly signed and stamped by the Head of the Organization, Head of the Accounts Department.

2.7 Inspection and Monitoring:

i. The UPSMGM and District Ganga Committee shall ensure close monitoring and evaluation of progress of the project, and also monitor implementation performance of the EAs.

ii. The SPMG shall ensure appointment of agency (ies) for third party inspection (TPI)/evaluation of the project strictly as per letter No. A-12012/2/2010-NRCD-II dated 16th September, 2010. The EAs through the SPMG shall submit copies of the TPI Reports along with their responses/comments to the NMCG. Releases of funds will be subject to compliance of TPI reports.

iii. NMCG may depute any person to visit the SPMG/ EAs for the purpose of monitoring its work and accounts of the SPMG. Full co-operation shall be provided by the EAs to the persons deputed for inspection.

iv. Time and cost overrun leading to delay in implementation of projects is viewed with serious concern by the Central Government and as per instructions contained in Cabinet Secretary’s D.O. letter No. 261/1/10/2000 Cabinet, dated June 4, 2001 read with Planning Commission’s D.O. letter No. O-14015/2/98-PAMD, dated 19.08.1998 (kindly visit NGRBA website/ ‘Project Monitoring’ for details), mandatory review of the project must be carried out from time to time so as to assess the expenditure trend and time schedule of the project and appropriate action against those responsible for delay shall be taken, in accordance with the instructions.

v. The Director General, NMCG may monitor overall progress of project periodically from time to time.
Annexure III

Third Party Appraisal Agency observations on the project “Community Toilets and Urinals for Cleanliness and Sanitation in Kumbh Mela 2019 at Allahabad” in Uttar Pradesh under Namami Gange Programme

A comprehensive O&M protocol to be developed for the renting contract in RFP and may include:

i. Detailed plan of installation & operation – no. of male, female toilets, timeline and plan.

ii. Detailed O&M plan including water availability, 3 times a day cleaning, daily verification & validation by vendor for each toilet / urinal.

iii. ICT based monitoring of toilets for regular cleaning at least 3 times a day.

iv. Geo tagging of septic tank de-sludging tankers and their monitoring.

v. Prior formal agreement / arrangement for disposal of septage extracted from septic tank toilets to STPs.

vi. In addition to toilet cleaner and repair person, security guards may be hired for safety of women and children when accessing toilets.

vii. Provision for adequate number of special toilets for “Divyang” pilgrims.

viii. Provisioning of pads by toilet operators to ensure menstrual hygiene management consideration integration in design.

ix. Post Mela removal and site clearing plan indicating protocol and timings.

[Signature]